

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/580940

Filing Date 10/10/2000

First Named Inventor Michael Donkin et al

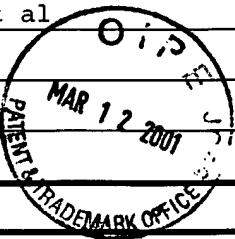
Group Art Unit 2133

Examiner Name

Total Number of Pages in this Submission

31

Attorney Docket Number 1999-0725



## Enclosures (check all that apply)

Fee Transmittal Form  
 Fee Attached  
 Amendment / Response  
 After Final  
 Affidavits / Declaration(s)  
 Petition for Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts under 37 CFR 1.52 or 1.53  
 Response to Missing Parts/Incomplete Application

Assignment & Recordation Cover Sheet  
 Drawing(s) & Letter to Official Draftsman  
 Licensing-related Papers  
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
 Petition to Convert a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund

After Allowance Communication to Group  
 Appeal Communications to Board of Appeals and Interferences  
 Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Return Receipt Postcard  
 Additional enclosure(s) (please identify below)

*NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FORM*

## Remarks

*DECLARATION SIGNED BY INVENTORS*

## CORRESPONDENCE ADDRESS

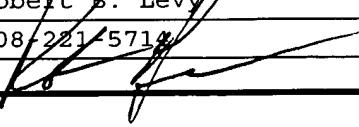
Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

NAME	Samuel H. Dworetzky			
ADDRESS	AT&T CORP. P.O. Box 4110			
CITY	Middletown	STATE	New Jersey	ZIP CODE
COUNTRY	United States of America			

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Robert B. Levy	Reg. #	28234
TELEPHONE	908-221-5714		
SIGNATURE			
	DATE 03-01-2001		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03-01-2001

Type or Printed Name	Arlene Jende		
Signature		Date	03-01-2001

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

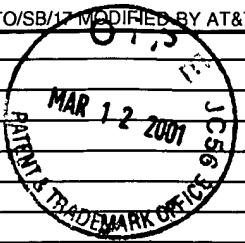
## FEE TRANSMITTAL

Patent Fees are subject to annual revision.

TOTAL AMOUNT  
OF PAYMENT

\$1,130

Complete if Known	
Application Number	09/680940
Filing Date	10/10/2000
First Named Inventor	Michael Donkin et al.
Examiner Name	
Group/Art Unit	2133
Attorney Docket No.	1999-0725



## METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
Deposit Account Name AT&T CORP.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

## FEE CALCULATION

## 1. FILING FEE

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	710	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	710
106	320	Design Filing Fee	
108	710	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) 710

2. CLAIMS  Filing Under 37CFR 1.53 (b)  
 CPA Under 37CFR 1.53 (d)  
 Amendment

Extra Claims	Fee from below	Fee Paid
Total 30 - 20 = 10	x 18	= 180
Ind. 3 - 3 = 0	x 80	= 0
Multiple Dependent Claims		

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent Claims in excess of 3
104	270	Multiple Dependent Claims
109	80	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 180

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	110
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1390	Extension for reply within fourth month	
128	1890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1240	Petition to revive – unintentional	
142	1240	Utility issue fee (or reissue)	
143	440	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	710	Filing a submission after final rejection(37 CFR 1.129(a))	
149	710	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
* Reduced by Basic Filing Fee Paid		SUBTOTAL(3) 240	

## SUBMITTED BY

Typed or Printed Name

Robert B. Levy

Complete (if applicable)

Reg. Number 28234

Signature

Date

03-01-2001

Deposit Account User ID

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/680,940	10/10/2000	Jared J. Helm	03493.87082

Mr. S. H. Dworetzky  
 AT&T Corp.  
 P.O. Box 4110  
 Middletown, NJ 07748



## FORMALITIES LETTER



\*OC000000005611064\*

Date Mailed: 12/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1020.

09680940

03/13/2001 CRNGUTEN 00000067 012745

 710.00 CH  
 180.00 CH  
 130.00 CH  
 FC:101  
 FC:103  
 FC:105  
 FC:106  
 FC:107

Haimanot Tegbamu  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE